| ' LITH ITV   | August Destable Te                                 | 04040EUC92 4  |    |  |  |  |  |  |  |  |
|--|--|---|----|--|--|--|--|--|--|--|
| PATENT APPLICATION  First Inventor or Application  |  | 212405US23  |    |  |  |  |  |  |  |  |
| TRANSMITTAL  | First Inventor or Applica                          | ation Identifier Monroe E. WALL   |    |  |  |  |  |  |  |  |
| (Only for new nonprovisional applications under 37 CFR 1.53(b))  | Title CAMPTOTHECIN ANALOGS HAVING AN E-RING KETONE |   |    |  |  |  |  |  |  |  |
| Assignee Name: Research Triangle Institute   |  |   |    |  |  |  |  |  |  |  |
| Assignee Address: 3040 Cornwallis Road Research Triangle Park, North Carolina, 27709   |  |   |    |  |  |  |  |  |  |  |
|  |  |   |    |  |  |  |  |  |  |  |
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents   |  | ADDRESS TO: Commissioner for Patents  Mail Stop Patent Application Alexandria, Virginia 22313 |    |  |  |  |  |  |  |  |
| Fee Transmittal Form (e.g. PTO/SB/17)     (Submit an original and a duplicate for fee processing)  |  | ACCOMPANYING APPLICATION PARTS  |    |  |  |  |  |  |  |  |
| (ecomical original and a applicate for 100 pro   | ,0000mg/   | 7.   Assignment Papers (cover sheet & document(s))  |    |  |  |  |  |  |  |  |
| 2. Specification Total   | Sheets 23  | 8. Application Data Sheet. See 37 CFR 1.76  |    |  |  |  |  |  |  |  |
|  |  | 9.   37 C.F.R. §3.73(b) Statement  (when there is an assignee)  Power of Attorney             |    |  |  |  |  |  |  |  |
| 3. Formal Drawing(s) (35 U.S.C. 113) Total Sheets  | 3  | 10. ☐ English Translation Document (if applicable)  |    |  |  |  |  |  |  |  |
|  |  | 11. ☐ Information Disclosure ☐ Copies of II Statement (IDS)/PTO-1449 ☐ Citations              | DS |  |  |  |  |  |  |  |
| 4.   Oath or Declaration Total   | Pages  | 12. ☐ Preliminary Amendment   |    |  |  |  |  |  |  |  |
| a.   Newly executed (original or co  | ору)   | 13. White Advance Serial No. Postcard   |    |  |  |  |  |  |  |  |
| b. Copy from a prior application (for continuation/divisional with box 1)  | completed)   | 14.   Certified Copy of Priority Document(s)  (If foreign priority is claimed)                |    |  |  |  |  |  |  |  |
| <ul> <li>i. DELETION OF INVENT<br/>Signed statement attached del<br/>the prior application, see 37 C.<br/>1.33(b).</li> </ul>  | eting inventor(s) named in                         | 15.   Applicant claims small entity status.  See 37 CFR 1.27                                  |    |  |  |  |  |  |  |  |
| 5, CD-ROM or CD-R in duplicate, large Program (Appendix)   | e table or Computer                                | 16. ☐ Other:  |    |  |  |  |  |  |  |  |
| 6. U Nucleotide and/or Amino Acid Sequing (if applicable, all necessary)   |  |   |    |  |  |  |  |  |  |  |
| a. Computer Readable Form (CRF)  |  |   |    |  |  |  |  |  |  |  |
| <ul> <li>b. Specification or Sequence Listing of the sequence i. □ CD-ROM or CD-R (2 copies</li> </ul>   |  |   |    |  |  |  |  |  |  |  |
| ii. □ Paper  | ), OI  |   |    |  |  |  |  |  |  |  |
| c.   Statements verifying identity of  | above copies                                       |   |    |  |  |  |  |  |  |  |
| 17. If a CONTINUING APPLICATION, check   | <u> </u>   | y the requisite information below:  |    |  |  |  |  |  |  |  |
| ☐ Continuation ☐ Divisional  | ☐ Continuation-                                    |   |    |  |  |  |  |  |  |  |
| Prior application information: Exami   | ner:   | Group Art Unit:   |    |  |  |  |  |  |  |  |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. |  |   |    |  |  |  |  |  |  |  |
| 18. Amend the specification by inserting before  | ore the first line the se                          | entence:  |    |  |  |  |  |  |  |  |
| ☐ This application is a ☐ Continuation   | □ Division   | ☐ Continuation-in-part (CIP)  |    |  |  |  |  |  |  |  |
| of application Serial No.  | Filed on   |   |    |  |  |  |  |  |  |  |
| ☐ This application claims priority of provisional application Serial No. Filed   |  |   |    |  |  |  |  |  |  |  |
| 19. CORRESPONDENCE ADDRESS  22850 (709) 413 2000   |  |   |    |  |  |  |  |  |  |  |
| (703) 413-3000<br>FACSIMILE: (703) 413-2220  |  |   |    |  |  |  |  |  |  |  |

Name: J. Derek Mason

Signature:

Name:

Registration No.: 35,270

Date: 6/30/03

Registration No.:

6/30/03

Docket No.

212405US23

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Monroe E. WALL, et al.

SERIAL NO:

New Application

FILING DATE: Herewith

FOR:

CAMPTOTHECIN ANALOGS HAVING AN E-RING KETONE

## **FEE TRANSMITTAL**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

| FOR   | NUMBER<br>FILED |      | NUMBER<br>EXTRA | RATE |       |           | CALCULATIONS |
|---|-----------------|------|-----------------|------|-------|-----------|--------------|
| TOTAL CLAIMS  | 15 - 2          | 20 = | 0               | х    | \$18  | =         | \$0.00       |
| INDEPENDENT CLAIMS                                    | 1 -             | 3 =  | 0               | х    | \$84  | =         | \$0.00       |
| ☐ MULTIPLE DEPENDENT CLAIMS (If applicable) + \$280 = |                 |      |                 |      |       | \$0.00    |              |
| LATE FILING OF DECLARATION                            |                 |      |                 |      | \$130 | =         | \$130.00     |
| BASIC FEE   |                 |      |                 |      |       |           | \$750.00     |
| TOTAL OF ABOVE CALCULATIONS                           |                 |      |                 |      |       |           | \$880.00     |
| ☐ REDUCTION BY 50% FOR FILING BY SMALL ENTITY         |                 |      |                 |      |       | \$0.00    |              |
| ☐ FILING IN NON-ENGLISH LANGUAGE                      |                 |      |                 | +    | \$130 | =         | \$0.00       |
| ☐ RECORDATION OF ASSIGNMENT                           |                 |      |                 | +    | \$40  | =         | \$0.00       |
|   |                 |      |                 | _    | TOTA  | <b>AL</b> | \$880.00     |

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A duplicate copy of this sheet is enclosed.

- A check in the amount of \$880.00 to cover the filing fee is enclosed.
- The Director is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

J. Derek Mason

Registration No. 35,270

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)